

FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

Applicant's Docket No.

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

3165-121

U.S. Application No. (if known)

10/532,931

INTERNATIONAL APPLICATION NO.
PCT/EP03/12013

INTERNATIONAL FILING DATE
October 29, 2003

PRIORITY DATE CLAIMED
October 30, 2002

TITLE OF INVENTION

BIFUNCTIONAL PHENYL ISO(THIO)CYANATES, PROCESSES AND INTERMEDIATES FOR THEIR PREPARATION

APPLICANT(S) FOR DO/EO/US: Gerhard HAMPRECHT, Michael PUHL, Bernd WOLF, Norbert GOETZ, Michael KEIL, Robert REINHARD, Ingo SAGASSER, Werner SEITZ

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

ITEMS 11. TO 20. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: PCT/IB/338, PCT/IB/409 (translation)

U.S. APPLICATION NO. (If known) 10/532,931	INTERNATIONAL APPLICATION NO. PCT/EP03/12013	ATTORNEY DOCKET NO. 3165-121
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21. The following fees are submitted: <input type="checkbox"/> Basic national Fee \$300.00	<u>CALCULATIONS</u>	<u>PTO USE ONLY</u>
22. <input type="checkbox"/> Examination Fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100.00 All other situations \$200.00	\$	
23. <input type="checkbox"/> Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority \$100.00 International Search Report prepared and provided to the Office \$400.00 All other situations \$500.00	\$	
TOTAL OF 21, 22 AND 23 =	\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.	\$	

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Rate	
- 100 =	/ 50 =		x 250	\$
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$

Claims	Number Filed	Number Extra	Rate	
Total Claims	21 - 20 =	1	X \$50.00	\$
Independent Claims	3 - 3 =	0	X \$200.00	\$
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$
TOTAL OF ABOVE CALCULATIONS =				\$

<input type="checkbox"/> Applicant claims small entity status. The fees indicated above are reduced by 1/2.	\$	
SUBTOTAL =		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		
TOTAL NATIONAL FEE =		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		
TOTAL FEES ENCLOSED =		

	Amount to be refunded	\$
	Amount to be charged	\$

a. ☐ A check in the amount of _____ to cover the above fees

b. ☐ Please charge my Deposit Account No. 02-2135 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.18(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No. 06449

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